SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Hutter Christopher Gerald</u>						2. Issuer Name and Ticker or Trading Symbol <u>ASCENT INDUSTRIES CO.</u> [ACNT]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) 1400 16TH ST	(First) REET	(Mi	iddle)		3. Date of Earliest Transaction (Month/Day/Year) 11/11/2022							x	Officer (g below)		Other (specify below) and CEO			
SUITE 270					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) OAK BROOK IL 60523											X	Form filed by One Reporting Person Form filed by More than One Reporting Person				g Person		
(City)	(State)	(Zi	p)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) 2. Trans Date (Month/						2A. Deemed Execution Date, if any (Month/Day/Year)	Date, Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount	t (A) or Pric		Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)	
Common Stock 11/11					1/2022		Р		5,000		Α	\$10.7599	215,168		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	ive Conversion Date Execution D		Date, Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		nderlying ecurity	8. Price of Derivative Security (Instr. 5) 9. Number derivative Securities Beneficial Owned Following Reported		e Owr s For lly Dire or li	nership m: ect (D) ndirect Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Date

Exercisable

Expiration

Title

Date

Explanation of Responses:

Remarks:

Doug Tackett, Power of Attorney for Christopher G Hutter <u>11/14/2022</u>

** Signature of Reporting Person

Amount

of Shares

or Number

Date

Transaction(s)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Code V

(A)

(D)

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5 This Statement confirms that the undersigned has authorized and designated Doug Tackett and Aaron Tam, and each of them, the true and lawful attorneys-in-fact and agents of the undersigned, with Synalloy Corporation assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.